No. 0023-0162

OIPE 103			Attorney's Docket No.
OCT 2 2 2003 E IN THE UNITED	STATES PA	TEN	Γ AND TRADEMARK OFFICE
Patent Application of		)	
Ross W. CALLON		)	Group Art Unit: 2166
Application No.: 10/080,865	•7	)	Examiner: Unassigned
Filed: February 21, 2002		)	
For: DISTRIBUTED FILTE FOR NETWORKS	RING	) ) )	

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

closed.
fee due.

GROUP 3600

Page 2

The Commissioner is hereby authorized to charge any other appropriate fees that may be required by this paper that are not accounted for above, and to credit any overpayment, to Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.

By:

Glenn Snyder Reg. No. 41,428

11240 Waples Mill Road Suite 300 Fairfax, Virginia 22030 (571) 432-0800

CUSTOMER NUMBER: 26615

Date: October 22, 2003

O' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	
OCT 2 2 2003	S PATENT AND TRADEMARK OFFICE
Patent Application of	)
Ross W. CALLON	) Group Art Unit: 2166
Application No.: 10/080,865	) Examiner: Unassigned
Filed: February 21, 2002	)
Title: DISTRIBUTED FILTERING FOR NETWORKS	) ) )

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202

RECEIVED
OCT 2 4 2003

**GROUP 3600** 

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant(s) bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action in the above-referenced application. As such, no certification or fee is required. Copies of the listed documents are attached.

Applicant(s) respectfully request(s) that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

attention is directed to the foregoing application(s) in compliance with § 2001.06(b) of the

Manual of Patent Examining Procedure. By identifying the copending application(s), the

assignee and/or applicant of the application(s) do not waive confidentiality of the application(s).

If any copending application(s) is/are cited on the attached PTO 1449, the Examiner's

Accordingly, the U.S. Patent and Trademark Office is requested to maintain the confidentiality

of the copending application(s) under 35 U.S.C. § 122.

This submission does not represent that a search has been made and does not constitute

an admission that each or all of the listed documents are material or constitute "prior art." If the

Examiner applies any of the documents as prior art against any claim in the application and

Applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United

States law, Applicant(s) reserve(s) the right to present to the Office the relevant facts and law

regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should one or more of the

documents be applied against the claims of the present application.

Information Disclosure Statement Under 37 C.F.R. § 1.97(b)
Application Serial No. 10/080,865
Attorney's Docket No. 0023-0162
Page 3

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.

By:

Glenn Snyder Reg. No. 41,428

11240 Waples Mill Road Suite 300 Fairfax, Virginia 22030 (571) 432-0800

CUSTOMER NUMBER: 26615

Date: October 22, 2003

SH	FFT	10	F 1

d TRADE		& TRADE AS	CUSTOMER ATTORNEY'S DKT No. 0023-0162			KT No.	APPLICATION No. 10/080,865		
			NUMBER	2	APPLICANT(S)				
DISCLOSURE				}-	Ross W. CALI	LON	GROUP		
	ITATION		26615		February 21,	2002	2166		
1	PTO-1449								
U.S. PATENT DOCUMENTS									
EXAMINER'S INITIALS	PATENT NO.	DATE	ATE			CLASS	SUBCLASS FILING DATE		
	. ]								
			<u> </u>						
	FOREIGN PATENT DOCUMENTS								
EXAMINER'S INITIALS	PATENT NO.	DATE		COUNTRY		CLASS	SUBCLASS	Transl Yes	ation No
INTIALO	TATEM NO.	57.12		5551111		32,.00		163	.,,,
					RECE	N/Er	•		
					OCT		<i>y</i>		
				· · · · · · · ·	OCT 2	<b>£</b> 2003			
				(	GROUP	360			
						-000	0		
	OTHER DOCU	MENTS ()	ncluding Autho	or Title	Date Perti	nent Pag	es. Etc.)		
	Copy of co-pen	ding U.S.	Serial No. 10/4	431,39	5, filed May	8, 2003	; Ross W. Ca		
	"Rate Limiting [	Data Traffi	c In a Network	c," pp.	1-56 (specifi	cation); I	igs. 1-13 (1	3 page	s).
	1								
EXAMINER DATE CONSIDERED									

OCT 2 2 2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).